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PRIVATE AND CONFIDENTIAL

Licensing Department  
Securities and Futures Commission  
8<sup>th</sup> Floor,  
Chater House,  
8 Connaught Road,  
Central, Hong Kong

Our ref. KLS/GP/136727.00101

By hand

LICENSING DEPT

2012 AUG 16 PM 5:35



16 August 2012

Dear Sirs,

**Re: Capital Dynamics Asset Management (HK) Private Limited (the "Company")  
Licensing Application for Type 9 regulated activity**

We hereby submit an application for type 9 licence on behalf of the Company.

We are advised that Mr. Tan Teng Boo, the founder and sole shareholder of the Company, has previously discussed with both the Securities and Futures Commission ("SFC") and the Hong Kong Stock Exchanges Limited ("SEHK") his intention of setting up an authorised collective investment scheme under S.104 of the Securities and Futures Ordinance to be dually-listed on the Main Board of SEHK and the Main Market of the Bursa Securities of Malaysia.

The proposed collective investment scheme will be set up as a closed-end fund with an investment objective to invest in 39 countries. The Company will be appointed as the collective investment scheme's fund manager. The Company's activities will be carried out solely for purposes of asset management.

By way of brief background, Mr. Tan is the founder and managing director of the Capital Dynamics group of companies ("CD group"), namely:-

1. Capital Dynamics (Australia) Limited;
2. Capital Dynamics (S) Ptd Ltd.;
3. Capital Dynamics Asset Management Sdn Bhd;

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